

JP920010129US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: H. Suzuki et al.

Group Art Unit: N/A	:	IBM Corporation
Examiner: N/A	:	Intellectual Property Law
Serial No.: N/A	:	Dept. N50, Bldg. 040-4
Filed: Herewith	:	1701 North Street
Title: THIN FILM TRANSISTOR AND METHOD OF MAKING SAME	:	Endicott, NY 13760

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on

(Date of Deposit)

Date

Assistant Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

For ensuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

The listing of these materials is not to be construed as an admission that such is actually prior art with respect to the invention of the present application and is not to be construed that such is material with respect to the present invention.

[] U.S. patent applications which the applicant considers to be related to the above-identified application are as follows:

[] A concise explanation of the relevance of the non-English language references is attached:

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COPY

- ☐ The relevance of the references is indicated on the enclosed copy of the _____
Search Report for the priority application.

These documents are being submitted (check only one of the next four boxes):

- ☒ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☐ before receiving a first Office Action on the merits of the above-identified patent application (no fee is due);
- ☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, the fee box below OR one of the last two boxes must be checked);

OR

- ☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

FEE

- ☒ The Director is hereby authorized to charge the \$180.00 fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 09-0457.

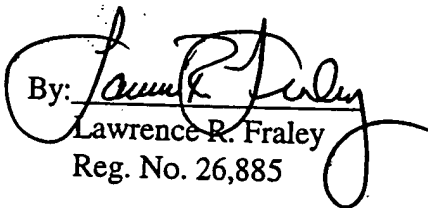
CERTIFICATION

- [X] The undersigned certifies that each item of this information is being submitted within three months of the date it was first cited in any communication from a foreign patent office in a counterpart foreign application.
- [] The undersigned certifies that no item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Director is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 09-0457. A duplicate copy of this authorization is attached for the Finance Branch.

Respectfully submitted,

Dated: Aug 14, 2002

By: 
Lawrence R. Fraley
Reg. No. 26,885

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INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

ATTY DOCKET NO.
JP920010

SERIAL NO.

H. Suzuki et al.

FILING

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2616153	02/19/92	JAPAN			✓	
	JP 241997	09/17/96	JAPAN			✓	
	JP 2000-243963	09/08/00	JAPAN			✓	
	JP 2000-284750	10/13/00	JAPAN			✓	
	JP 2000-347624	12/15/00	JAPAN			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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ATTY DOCKET NO.
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SERIAL NO.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2000-148087	05/26/00	JAPAN			✓	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

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